

# EXHIBIT A

Period Ended March 31, 2023

CASH RECEIPTS:

Report No. 172								
				Cumulative Detail				
General Cash Receipts		Net Change for Period	Prior Period Cumulative	Total Received	Customer Fund	General Estate	SIPC	Code
Beginning Cash Balance		\$46,085,654.29						
Transfer from Debtor's Estate - Securities		0.00	289,841,911.70	289,841,911.70	289,841,911.70			4011
Transfers from Debtor's Estate - BNY Account		0.00	336,660,934.06	336,660,934.06	336,660,934.06			4014
Transfers from Debtor's Estate - Chase Account		0.00	235,156,309.36	235,156,309.36	235,156,309.36			4016
Transfers from Debtor's Estate - Other		0.00	4,036,145.08	4,036,145.08	4,036,145.08			4018
Interest and Dividends		0.00	1,843,180.59	1,843,180.59	1,843,180.59			4040
Closeout Proceeds - Broker Dealers		0.00	37,316,297.78	37,316,297.78	37,316,297.78			4030
Closeout Proceeds - NSCC		0.00	21,783,082.40	21,783,082.40	21,783,082.40			4031
Closeout Proceeds - DTCC		0.00	18,001,787.96	18,001,787.96	18,001,787.96			4032
Sale of Debtor's Assets		0.00	47.26	47.26	47.26			4070
- Sports Tickets		0.00	91,037.20	91,037.20	91,037.20			4071
- Bank Debt Participations		0.00	7,959,450.94	7,959,450.94	7,959,450.94			4072
- DTCC Shares		0.00	204,170.51	204,170.51	204,170.51			4073
- Market Making Business		0.00	1,419,801.63	1,419,801.63	1,419,801.63			4075
- Abtech		0.00	795,000.00	795,000.00	795,000.00			4076
- NSX Shares		0.00	100,734.60	100,734.60	100,734.60			4077
- BLM Air Charter		0.00	6,494,631.95	6,494,631.95	6,494,631.95			4074
- Auction Sales		0.00	644,579.15	644,579.15	644,579.15			4078
- Other		0.00	11,428.57	11,428.57	11,428.57			4079
Administrative Subtenant Rent Revenue		0.00	531,078.49	531,078.49	531,078.49			4111
Adjusting Administrative Subtenant Rent Revenue		0.00	(531,078.49)	-531,078.49	(531,078.49)			4111a
Refunds - Deposits		0.00	9,841.45	9,841.45	9,841.45			4091
- Dues/Subscriptions		0.00	177,247.15	177,247.15	177,247.15			4092
- Car Registrations		0.00	157.00	157.00	157.00			4093
- Vendors		0.00	62,451.27	62,451.27	62,451.27			4094
- Transit Cards		0.00	833.61	833.61	833.61			4095
- Insurance/Workers Comp		0.00	442,311.56	442,311.56	442,311.56			4096
- Ref. - Political Contributions		0.00	144,500.00	144,500.00	144,500.00			4097
- Refunds Other		0.00	50.84	50.84	50.84			4099
Recoveries - Customer Avoidances		0.00	112,392,379.79	112,392,379.79	112,392,379.79			4020
- Pre-Litigation Settlements		0.00	1,903,783,597.98	1,903,783,597.98	1,903,783,597.98			4021
- Litigation Settlements		1,006,679.25	11,444,654,850.43	11,445,661,529.68	11,445,661,529.68			4022
- Donation Settlements		0.00	875,000.00	875,000.00	875,000.00			4023
- Vendor Preferences		0.00	809,850.39	809,850.39	809,850.39			4024
- MSIL Liquidation		0.00	1,034,311.82	1,034,311.82	1,034,311.82			4025
- Employees		0.00	10,674.74	10,674.74	10,674.74			4102
- Taxing Authorities		0.00	12,777.56	12,777.56	12,777.56			4103
- Class Actions		0.00	2,747,542.17	2,747,542.17	2,747,542.17			4104
- NASDAQ		0.00	308,948.49	308,948.49	308,948.49			4105
- NYSE		0.00	183,683.79	183,683.79	183,683.79			4106
- Transaction Fees		0.00	96,816.23	96,816.23	96,816.23			4107
- Other		0.00	796,298.73	796,298.73	796,298.73			4109
Miscellaneous		0.00	0.36	0.36	0.36			4110
Earnings on Trustee's Investments		324,450.57	118,159,318.76	118,483,769.33	118,483,769.33			4120
Interest on Trustee's Savings Accounts		1,070,135.39	14,818,724.23	15,888,859.62	15,888,859.62			4140
<b>Sub-total SIPC Advances</b>		<b>\$2,401,265.21</b>	<b>\$14,563,882,699.09</b>	<b>\$14,566,283,964.30</b>	<b>\$14,566,283,964.30</b>			
Funds Transferred from Investment Accounts *See Note (2) on Page 3		1,970,363.84	2,313,506,719.36	2,315,477,083.20		2,315,477,083.20		2901
Total Cash Receipts		0.00	0.00	0.00		0.00		2921
		0.00	849,473,412.47	849,473,412.47		849,473,412.47		2922
		<b>\$1,970,363.84</b>	<b>\$3,162,980,131.83</b>	<b>\$3,164,950,495.67</b>		<b>\$3,164,950,495.67</b>		
		0.00	12,600,349,023.80	12,600,349,023.80				1901
		<b>\$4,371,629.05</b>	<b>\$30,327,211,854.72</b>	<b>\$30,331,583,483.77</b>	<b>\$14,566,283,964.30</b>	<b>\$0.00</b>	<b>\$3,164,950,495.67</b>	

Period Ended March 31, 2023

Report No. 172

CASH DISBURSEMENTS:

<u>Administrative Disbursements</u>	<u>Net Change for Period</u>	<u>Prior Period Cumulative</u>	<u>Cumulative Total Paid</u>	<u>Code</u>
<u>General Administrative Disbursements</u>				
Computer - Rental	0.00	11,121.59	11,121.59	5011
- Software Support	0.00	55,159.20	55,159.20	5012
- Equipment Leases	0.00	204,159.01	204,159.01	5013
Employee Related - Salaries-Net	0.00	4,361,844.80	4,361,844.80	5020
- FICA-Employer	0.00	318,550.60	318,550.60	5021
- Fed. & St. Unemploy.	0.00	4,296.08	4,296.08	5023
- Temporary Help	0.00	29,612.50	29,612.50	5024
- Employee Medical Plan	0.00	830,103.99	830,103.99	5025
- Employee LTD	0.00	6,887.03	6,887.03	5026
- Employee Expense Reimbursement	0.00	1,125.87	1,125.87	5027
- Employee Life/AD&D	0.00	9,006.83	9,006.83	5028
- Other	0.00	1,622.90	1,622.90	5029
Insurance - Trustee Bond	0.00	8,400.00	8,400.00	5030
Insurance - Surety & Fidelity Bonds	0.00	37,400.00	37,400.00	5031
Insurance - Workers Comp	0.00	12,578.00	12,578.00	5032
Insurance - Other	0.00	76,938.80	76,938.80	5039
Fees - Payroll Processing	0.00	8,195.96	8,195.96	5045
Fees - Escrow	0.00	1,221,698.85	1,221,698.85	5046
- Other	0.00	24,168.64	24,168.64	5047
Expenses for Asset Sales	0.00	48,429.09	48,429.09	5048
Rent - Office	0.00	3,987,347.17	3,987,347.17	5050
- Adjustment for Administrative Subtenant Rent Revenue	0.00	(531,078.49)	(531,078.49)	5050a
- Equipment	0.00	1,695.89	1,695.89	5051
- Warehouse	20,625.02	2,806,954.19	2,827,579.21	5052
- Bulova	0.00	310,130.75	310,130.75	5053
- Other	0.00	69,725.61	69,725.61	5059
Costs - Vacating 885 Third Avenue	0.00	20,179.46	20,179.46	5111
Telephone and Telegraph	0.00	360,456.68	360,456.68	5060
Communication Fees	0.00	670,057.02	670,057.02	5061
Utilities - Electricity	243.35	61,826.40	62,069.75	5070
Office Supplies & Expense - Maint. & Repairs	0.00	79,338.86	79,338.86	5080
- Moving & Storage	0.00	570,327.68	570,327.68	5081
- Postage/Handling/Preparation	0.00	40,961.12	40,961.12	5082
- Reproduction	0.00	183,889.65	183,889.65	5083
- Locksmith	0.00	5,811.39	5,811.39	5084
- Security	0.00	249,897.70	249,897.70	5085
- Supplies	0.00	3,865.31	3,865.31	5086
- Temporary Help	0.00	4,588,642.69	4,588,642.69	5087
- Process Server - Complaint	0.00	244,026.52	244,026.52	5088
- Other	0.00	36,250.63	36,250.63	5089
Taxes	0.00	555.51	555.51	5090
NYC Commercial Rent Tax	0.00	154,269.47	154,269.47	5091
Claims Related Costs - Mailing Costs	0.00	23,053.28	23,053.28	5101
- Publication	0.00	163,961.13	163,961.13	5102
- Supplies	0.00	16,244.58	16,244.58	5103
- Printing	0.00	2,207.42	2,207.42	5104
Court Related Noticing - Postage/Handling/Preparation *See Note (1) Below	0.00	0.00	0.00	5106
- Reproduction	0.00	0.00	0.00	5107
- Supplies	0.00	0.00	0.00	5108
Scanning - Investigation	0.00	5,189,846.75	5,189,846.75	5110
Foreign Research	0.00	38,975.00	38,975.00	5112
Miscellaneous	0.00	666.91	666.91	5115
Hosting Expense	827,288.12	71,741,700.56	72,568,988.68	5244
<b>Sub-total General Admin. Disbursements</b>	<b>\$848,156.49</b>	<b>\$98,363,086.58</b>	<b>\$99,211,243.07</b>	

Professional Fees and Expenses

Trustee Fees	0.00	4,377,662.10	4,377,662.10	5200
Trustee Expenses	0.00	2,549.25	2,549.25	5201
Trustee Counsel Fees (Baker)	6,618,954.20	1,472,377,011.05	1,478,995,965.25	5210
Trustee Counsel Expenses (Baker)	45,390.80	21,549,049.48	21,594,440.28	5211
Trustee Counsel Fees (Windels)	0.00	86,573,371.83	86,573,371.83	5212
Trustee Counsel Expenses (Windels)	0.00	816,147.73	816,147.73	5213
Special Counsel Fees *See Note (1) Below	0.00	105,603,401.13	105,603,401.13	5220
Special Counsel Expenses	0.00	15,246,945.08	15,246,945.08	5221
Accountant Fees	0.00	0.00	0.00	5230
Accountant Expenses	0.00	0.00	0.00	5231
Consultant Fees	614,114.10	485,554,942.97	486,169,057.07	5240
Consultant Expenses *See Note (1) Below	11,907.11	21,159,960.45	21,171,867.56	5241
Investment Banker Fees	0.00	1,050,000.00	1,050,000.00	5242
Sales Tax	0.00	1,852,977.94	1,852,977.94	5243
Mediator Fees	0.00	5,821,264.23	5,821,264.23	5245
Mediator Expenses	0.00	15,322.50	15,322.50	5246
Receiver Counsel Fees	0.00	300,000.00	300,000.00	5260
Receiver Counsel Expenses	0.00	6,449.08	6,449.08	5261
Receiver's Consultants Fees	0.00	316,000.00	316,000.00	5262
Receiver's Consultants Expenses	0.00	15,000.00	15,000.00	5263

**Sub-total Professional Fees and Expenses**

**\$7,290,366.21**

**\$2,222,638,054.82**

**\$2,229,928,421.03**

Total Administrative Disbursements

**\$8,138,522.70**

**\$2,321,001,141.40**

**\$2,329,139,664.10**

Period Ended March 31, 2023

Report No. 172

<u>CASH DISBURSEMENTS:</u>		Net Change for Period	Prior Period Cumulative	Total Paid	Cumulative Totals			
					Customer Fund	General Estate	SIPC	Code
Customer - Paid Bank Loan	Claim Related Disbursements	\$ 0.00	\$ 14,379,868,726.87	\$ 14,379,868,726.87	\$ 13,530,395,314.40			6021 6022 6023 6031 6041 6050 6060 6000 6111 6121 6131 6140 6150 6160 6100 6200
- Securities - Cash in Lieu								
- Securities - Purchases								
- Indemnification								
- Cash Balance								
Customer -								
Customer -								
Customer - Trustee Journal Entry	per Allocation							
Other - Contractual Commitments								
- Pd. Bank Loan								
- Indemnification								
Other -								
Other -								
Other -								
Other - Trustee Journal Entry	per Allocation							
General Creditor								
Sub-total Claim Disbursements		\$0.00	\$14,379,868,726.87	\$14,379,868,726.87	\$13,530,395,314.40	\$0.00	\$849,473,412.47	
<u>Other Disbursements (except investments)</u>								
SIPC - Refunds - Recoupment								6301 6310 6311 6321 6322 6400 6401 6402 6403 6404
- Indemnification								
- Contr. Commitments								
- Paid Bank Loan								
- Subrogation								
Other -								
Other -								
Other -								
Other -								
Other -								
Sub-total Other Disbursements		\$0.00	\$254,750,976.03	\$254,750,976.03	\$254,750,976.03	\$0.00	\$0.00	
Investments by Trustee - Purchases *See Note (2) Below		\$1,394,585.96	\$13,325,505,356.13	\$13,326,899,942.09				1900
Sub-total Administrative Disb. - page 2		\$8,138,522.70	\$2,321,001,141.40	\$2,329,139,664.10	\$0.00	\$0.00	\$2,329,139,664.10	
Total Disbursements		\$9,533,108.66	\$30,281,126,200.43	\$30,290,659,309.09	\$13,785,146,290.43	\$0.00	\$3,178,613,076.57	
Total Receipts less Disbursements		(\$5,161,479.61)	\$46,085,654.29	\$40,924,174.68	\$781,137,673.87	\$0.00	(\$13,662,580.90)	
Ending Cash Balance *See Note (3) Below					\$40,924,174.68			

\* Note (2) Two preferred custody accounts and an insured money market account have been established at Citibank for investment purposes and additional investment accounts are maintained at JP Morgan Chase and Goldman Sachs. The Goldman Sachs Account was established in December 2016 in connection with the Chais Settlement. A Broker's account, which was previously established at Morgan Joseph, was closed in January 2012. Since January 20, 2009, \$13,326,899,942.09 of recovered funds have been transferred into these investment accounts and \$12,600,349,023.80 of these funds have subsequently been used for interim distributions to customers with allowed claims and for operations. (See Page 5 for more details).

\* Note (3) The ending cash balance includes a \$39,324,940.61 balance in the Citibank Business Checking Account and \$1,599,234.07 in the Citibank Distribution Account.

Period Ended March 31, 2023

Report No. 172

SUMMARY INFORMATION ON STATUS OF LIQUIDATION

	Customer Claimants	Broker/Dealer Claimants	General Estate Claimants
Claims received	<u>16,521</u>	<u>49</u>	<u>95</u>
Claims satisfied by distribution of cash and/or securities:			
a. As part of the transfer in bulk			
b. On an account by account basis-Fully Satisfied	1,751		
c. On an account by account basis-Partially Satisfied	903		
	<u>2,654</u>	<u>-</u>	<u>-</u>
Claims Determined - no claims	12		
Claims Deemed Determined - pending litigation	11		
Claims Determined - withdrawn	417		
Claims Determined but not yet satisfied	1		
Claims under review	-	49	95
Claims Denied:			
a. Other Denials for which no objections were filed	10,338		
b. Denials for which objections were filed:			
- Trustee's Determinations Affirmed	3,072		
- Hearing not yet set	13		
- Set for Hearing	3		
	<u>13,867</u>	<u>49</u>	<u>95</u>

Filing Date Value

Customer name securities distributed	
Customer fund securities distributed	\$

Douglas H. Picard / by Dennis O'Connor  
(Trustee's Signature)

4/14/2023  
(Date)

William Kingsford  
(Accountant's Signature)

4/14/2023  
(Date)

Period Ended March 31, 2023

Report No. 172

IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC  
Investment Accounts

	Citibank Preferred Custody Account				Citibank IMMA Account	Citibank Certificate of Deposit	Total Citibank
	Cash Assets/Mutual Funds (5)	U.S. Treasury Bills (4)	Accrued Interest (4)	Account Balance	Account Balance (5)	Account Balance (5)	
Balance February 28, 2023	1,262	163,087,452	91	163,088,805	82,826,648	100,858,423	346,773,876
Maturing of U.S. Treasury Bills				-			-
Purchase of U.S. Treasury Bills				-			-
Purchase of Certificate of Deposit				-			-
Realized Gains (Losses)				-			-
Unrealized Gain or (Loss)		761,027		761,027			761,027
Interest and Dividends Earned							
Interest	91		(86)	5	105,584	257,299	362,888
Dividends				-			
Transfer of Funds to the Citibank Distribution Account							-
Balance March 31, 2023	1,353	163,848,479	5	163,849,837	82,932,232	101,115,722	347,897,791
JP Morgan Chase				Goldman Sachs			
Cash/Escrow Fund (5)	Savings/Commercial (5)	U.S. Treasury Bills (4)	Account Balance	Cash Assets/Mutual Funds (5)	Alternative Investments (5)	Account Balance	
Balance February 28, 2023	59,153	274,985,087	101,903,232	376,947,472	293,287	2,066,446	2,359,733
Maturing of U.S. Treasury Bills				-			-
Purchase of U.S. Treasury Bills				-			-
Annual Bank Fee							-
Distributions Received				166,681	(166,681)		-
Realized Gain on Sale of Securities							-
Unrealized Gain or (Loss)		509,313	509,313	24	(1,854)	(1,830)	
Interest and Dividends Earned	155	964,551		964,706	1,144		1,144
Transfer of Funds to the Citibank Distribution Account				-			-
Balance March 31, 2023	59,308	275,949,638	102,412,545	378,421,491	461,136	1,897,911	2,359,047

\* Note (4) The summation of U.S. Treasury Bills is \$266,261,029.

\* Note (5) The summation of these short-term investments, money market funds, savings accounts, certificate of deposit, mutual fund accounts and other investments, including alternative investments is \$462,417,300.

Period Ended March 31, 2023

**Report No. 172**

**IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS, LLC**  
**Consultant Expenses for Court Related Noticing and Interim Distributions**

	<b>Net Change for Period</b>	<b>Prior Period Cumulative</b>	<b>Cumulative Total Paid</b>
Postage / Handling / Preparation	0.00	545,094.89	545,094.89
Printing	0.00	44,945.40	44,945.40
Reproduction Costs	0.00	762,418.30	762,418.30
Supplies	0.00	101,433.61	101,433.61
<b>Total *See Note Below</b>	<b><u>\$0.00</u></b>	<b><u>\$1,453,892.20</u></b>	<b><u>\$1,453,892.20</u></b>

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\*Note: All of the expenses above were incurred by consultants in connection with court related noticing procedures and Interim Distributions, which are included in the Consultant Expenses line (Account #5241) on Page 2 of the SIPC Form 17.